

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/749,709	12/27/2000	Chengyu Liu	19412-17732001

CONFIRMATION NO. 6178

FORMALITIES LETTER



OC000000005768976

Chris A. Caseiro
Pierce Atwood
One Monument Square
Portland, ME 04101

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/21/2001 BNGUYEN1 00000120 09749709

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65.00 OP

#3

Attorney Docket No. 19412-1773001

Applicati n No.: 09/749,709
Date of Filing: 12/27/00
Inventor: Liu, et al.
Assigne : Chengyu Liu
Title: Controlling Offspring's Sex Ratio by Targeting Transgenes Onto the Sex Chromosomes
Art Unit: 1632
Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

In response to the February 15, 2001, Notice To File Missing Parts Of Application Filing Date Granted, Applicants respectfully request that the following documents be filed in the referenced application:

- Declarations/Powers of Attorney for each named inventor;
- Assignment of Rights with Recordation cover;

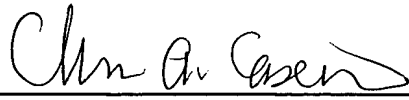
A copy of the Notice to File Missing Parts, and a return postcard are attached. Additionally, a check in the amount of \$105.00 is submitted as charge for recordation of Assignment documents, and surcharge for late filing. Please charge Deposit Account 500282 for any deficiency of fees.

Thank you for your attention to this matter.

Respectfully submitted,

Date: March 13, 2001

Customer Number:


Chris A. Caseiro, Reg. No. 34,304
Attorney for Applicants

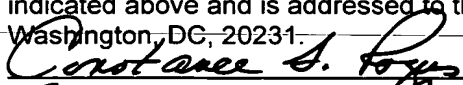


27723

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

Date of Deposit March 13, 2001
I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as "First Class Mail" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC, 20231.


Constance S. Rogers

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/749,709
Date of Filing: 12/27/00
Inventors: Liu, et al.
Assignee: Chengyu Liu
Title: Controlling Offspring's Sex Ratio by Targeting Transgenes Onto the Sex Chromosomes
Group Art Unit: 1632

MAR 19 2001
Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

REQUEST FOR CORRECTION OF ATTORNEY DOCKET NUMBER
AS SHOWN ON FILING RECEIPT

The Filing Receipt, dated February 15, 2001, shows an incorrect attorney docket number in relation to the above-referenced patent application: **19412-17732001**

Applicants respectfully request that the Attorney Docket Number be changed to show the correction as: 19412-1773001.

A copy of the referenced Official Filing Receipt with the requested change is enclosed. If any further information or documentation is necessary to affect this change, Applicants respectfully request that the undersigned be contacted.

Please charge any fees due in connection with this matter, or make any credits to Deposit Account No. 500282.

Respectfully submitted,

March 13, 2001
Date

Chris A. Caseiro
Chris A. Caseiro, Reg. No. 34,304

CUSTOMER NUMBER:



27723

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

Date of Deposit

March 13, 2001

I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as "First Class Mail" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231

Constance S. Rogers
Constance S. Rogers

Sector \$

Attorney D ck t No. 19412-1773001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicati n No.: 09/749,709
Dat of Filing: 12/27/00
Inventor: Liu, et al.
Assignee: Chengyu Liu
Title: Controlling Offspring's Sex Ratio by Targeting Transgenes Onto the Sex Chromosomes

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Enclosed for filing in the above-referenced patent application, please find the following: Response to the Notice to File Missing Parts with accompanying documents and copy of Notice, Request to Correct Filing Receipt and copy of Filing Receipt, and a check in the amount of \$105.00 surcharge for late filing. A return postcard is also enclosed.

Respectfully submitted,

Date: Mar. 13, 2001

Chris A. Caseiro
Chris A. Caseiro, Reg. No. 34,304
Attorney for Applicants

Customer Number:



27723

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

Date of Deposit March 13, 2001

I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as "First Class Mail" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231

Constance S. Rogers
Constance S. Rogers

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/749,709	12/27/2000	1632	355	19412- 17732001 1773001	1	12	1

CONFIRMATION NO. 6178

FILING RECEIPT



OC000000005768975

Chris A. Caseiro
Pierce Atwood
One Monument Square
Portland, ME 04101

Date Mailed: 02/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chengyu Liu, Rockville, MD;
Franklin Costantini, Pelham, NY;
Jin Wang, Verona, NJ;

Assignment For Published Patent Application

Chengyu Liu,;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,096 12/27/1999

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Titl

Controlling offspring's sex ratio by targeting transgenes onto the sex chromosomes

Preliminary Class

800

Data entry by : RETTA, ZINASH

Team : OIPE

Date: 02/15/2001

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